SEI TRADE
INVESTOR FULFILLMENT AND TRADE ORDER APPLICATION

SEI New ways. New answers.®

Simplify your trade order processes with SEI Trade.

In today's competitive environment, your organization needs sophisticated, flexible operating solutions to help you be more efficient and productive. The first solution to deliver comprehensive automation, SEI Trade provides you, your investors and financial intermediaries with a secure, web-based application that significantly streamlines and simplifies the fulfillment and investor trade order processes. This solution transforms how you engage with your investors by providing digital document management, workflow automation and real-time tracking and transparency of investor transactions.

A better transaction and onboarding experience for everyone.

Fund managers
You'll appreciate the institutionalized process, with new control, tracking and audit features. You'll be able to provide current and prospective investors with easier access to your products; and the unparalleled visibility and analytics offer actionable insight. The process also mitigates risk and reduces errors. This streamlined process means more opportunities for future business and allows you to grow without adding staff.

Your clients
End investors will enjoy a transparent and convenient experience with the ability to collaborate with you and their wealth advisors electronically. The simplified method expedites the process and condenses timelines.

Wealth firms
Advisors will benefit from greater productivity, efficiency and scalability. They'll have access to real-time information and customizable workflows and controls. Best of all, the solution integrates easily with their existing platform. With less paperwork and remediation needs, it's easier to allocate and manage clients' assets.

Partner with us to help you better serve investors and grow your investment strategies.

With the flexibility of SEI Trade, it's easier to handle any investment vehicle or transaction type—and you receive insights into your investors in a secure online environment. You can:

- Satisfy and engage investors
- Understand and control risk
- Significantly improve and streamline your investors' experience
- Stay innovative
- View your investors' trading activity

Key features of SEI Trade

Now you can maintain your offering materials, transaction documents and onboarding forms electronically. The underlying technology transforms existing PDFs into a digital form, enabling the investor or their intermediary to access and complete the form online. The innovative intelligent completion engine increases accuracy, efficiency and simplicity while mitigating risk.

Simplifies the process

- Transforms hard copy docs into web-based dynamic forms
- Guides users through data and process completion
- Streamlines execution with integrated e-signatures
- Tracks process in an online, flexible workflow
- Facilitates data validation, prompts and alerts

Integrates with existing operations

- Easy-to-update processes and procedures with a fully configurable workflow tool
- Efficient implementation process delivered by operational and technology professionals

Mitigates financial and compliance risk

- Allows you to initiate, review and approve every step of the transaction workflow
- Provides full audit and evidence reporting
- Offers a status and process pipeline view
- Delivers embedded internal, regulatory and KYC requirement rules

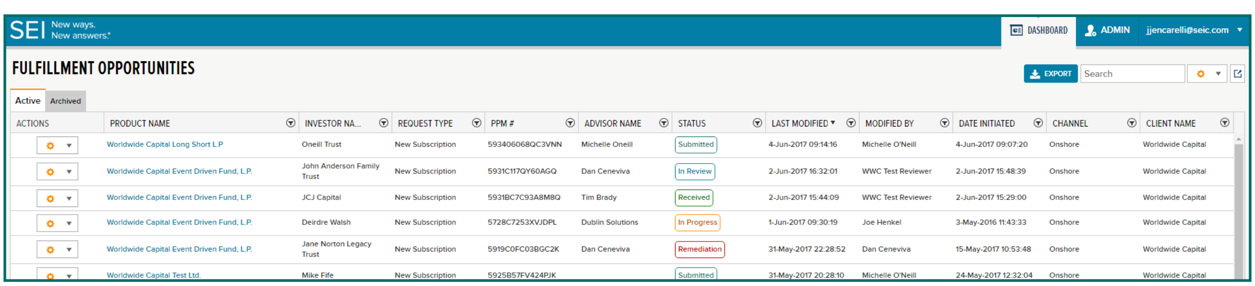
Reveals insights through analysis

- Real-time visibility into the data and process
- Interactive data scenarios and analytics
- Robust filtering, sorting and search capabilities

Get more meaningful insights into your investor base and trading activity.

With rich, interactive, graphical reporting and the flexibility to configure the analytical elements, you get real-time transparency into the transaction process. The result? Insightful and measurable analytics and reports. You can:

- View the actual information your investor is completing through every step of the process in real time
- Search, sort, filter and track transactions
- Display data in intuitive summary graphs with drilldown capabilities to simplify analysis and audit fulfillment
- Customize your dashboards with the information that is most relevant and meaningful

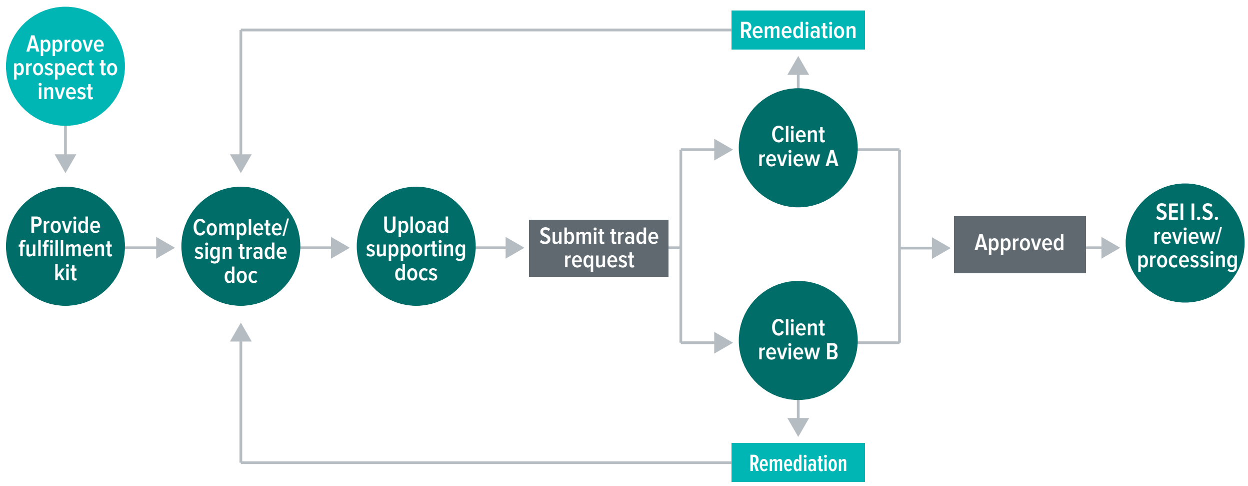


Real-time transparency into the data and process of your pipeline with interactive analytics and robust filtering, sorting, and search capabilities

Customizable workflows and review

We built SEI Trade to accommodate various workflow and business scenarios to ensure the transaction process meets your needs. You can establish unique workflow processes product-by-product based on investment policies, procedures and controls. Customized checklists allow multiple reviews, identify errors, notify individuals and offer remediation steps—which can be tracked and audited if required.

Sample workflow process from prospect approval through investor servicing processing



Electronic signature solution makes review and execution quick, secure and reliable.

We incorporate a widely used electronic signature solution so investors can easily execute transaction documents, regardless of the number of signatures required. The solution captures document- and process-level evidence, offering verification and proof of signature to ensure that information is authentic and unaltered when the forms are executed.

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For more information

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About SEI's Investment Manager Services Division

Investment Manager Services supplies investment organizations of all types with advanced operating infrastructure they must have to evolve and compete in a landscape of escalating business challenges. SEI's award-winning global operating platform provides investment managers and asset owners with customized and integrated capabilities across a wide range of investment vehicles, strategies and jurisdictions.

Our services enable users to gain scale and efficiency, keep pace with marketplace demands, and run their businesses more strategically. SEI partners with more than 550 traditional and alternative asset managers, as well as sovereign wealth managers and family offices, representing over $24.5 trillion in assets, including 45 of the top 100 asset managers worldwide. For more information, visit **seic.com/ims.**

About SEI

After 50 years in business, SEI (NASDAQ:SEIC) remains a leading global provider of investment processing, investment management, and investment operations solutions that help corporations, financial institutions, financial advisors, and ultra-high-net-worth families create and manage wealth. As of December 31, 2019, through its subsidiaries and partnerships in which the company has a significant interest, SEI manages, advises or administers $1 trillion in hedge, private equity, mutual fund and pooled or separately managed assets, including $352 billion in assets under management and $683 billion in client assets under administration. For more information, visit **seic.com.**

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